

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John J. HORTON

Serial No.: 09/669,852

Filed: September 27, 2000

For: xDSL CONNECTION MONITOR



**REQUEST FOR CORRECTION OF FILING RECEIPT (PTO-103X)**

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Dear Sir:

It is respectfully requested that the U.S. Patent and Trademark Office make the following correction on the filing receipt:

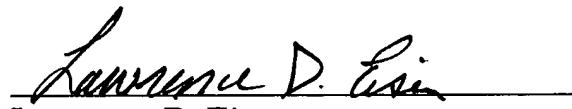
Darin Morrow should be removed as applicant. The only applicant is John J. Horton, as shown on the executed Declaration and Power of Attorney submitted simultaneously herewith.

A copy of the filing receipt containing the error specified above is attached for your reference.

After the above-mentioned correction has been made, Applicant respectfully requests that the U.S. Patent and Trademark Office issue to Applicant a corrected filing receipt.

The Commissioner of Patents and Trademarks is hereby authorized to charge the \$100 fee for filing a Request for Correction of Filing Receipt pursuant to 37 C.F.R. § 1.20 (a) to Deposit Account No. 50-1390. Any additional fees necessary for the consideration of this request should also be charged to Deposit Account 50-1390.

Respectfully submitted,

  
\_\_\_\_\_  
Lawrence D. Eisen  
Reg. No. 41,009  
One of the Attorneys for Applicant  
SHAW PITTMAN  
2300 N Street, N.W.  
Washington, D.C. 20037  
(202) 624-8893

Attachments

Date: January 3, 2001  
Case No.: BS00-150

Document #: 1111953 v.1

SECTOR  
§ #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John J. HORTON

Serial No.: 09/669,852

Filed: September 27, 2000

For: xDSL CONNECTION MONITOR



**COMPLETION OF APPLICATION  
UNDER 37 C.F.R. 1.53(d)**

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Attn: Application Branch

Dear Sir:

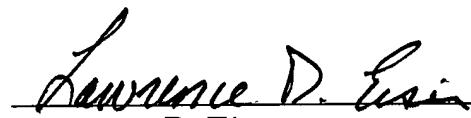
Pursuant to 37 C.F.R. 1.53(d), counsel for Applicant encloses herewith the following documents to complete the subject application:

- 1) Declaration and Power of Attorney for Patent Application signed by Applicant; and
- 2) Notice to File Missing Parts - Filing Date Granted.

It is respectfully submitted that the application is now complete and it is respectfully requested that the subject application be placed upon the files for examination.

The Commissioner is hereby authorized to charge the required fee of \$130.00 and payment of any additional fees associated with this communication or credit any overpayment to Deposit Account 50-1390.

Respectfully submitted,

  
\_\_\_\_\_  
Lawrence D. Eisen  
Reg. No. 41,009  
One of the Attorneys for Applicant  
Shaw Pittman  
2300 N Street, N.W.  
Washington, D.C. 20037  
(202) 663-8893

Attachments

Date: January 3, 2001  
Case No.: BS00-150



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/669,852	09/27/2000	2152	1296	BS00-150	3	45	5

Shaw Pittman  
 2300 N Street N W  
 Washington, DC 20037



## FILING RECEIPT



\*OC000000005530560\*

Date Mailed: 11/03/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

John J Horton, Canton, GA ;  
 Darin Morrow, Residence Not Provided;

**Continuing Data as Claimed by Applicant****Foreign Applications****If Required, Foreign Filing License Granted 11/03/2000****Title**

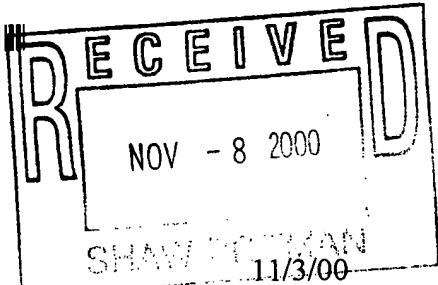
xDSL Connection monitor

**Preliminary Class**

709

Data entry by : MOHAMMED, ABDULBASIT Team : OIPE

Date: 11/03/2000





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



**UNITED STATES PATENT AND TRADEMARK OFFICE**

#3  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/669,852	09/27/2000	John J Horton	BS00-150

Shaw Pittman  
2300 N Street N W  
Washington, DC 20037



**FORMALITIES LETTER**



Date Mailed: 11/03/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

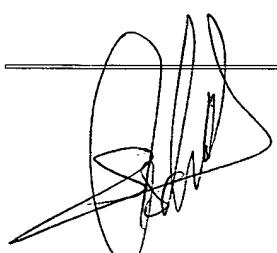
**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
  
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/27/2001 NHASSANI 00000001 501390 09669852

01 FC:105 130.00 CH